

(SVAP USCDI v3) Test Data for 170.315 (b)(9) – Care Plan

Ambulatory Setting

I. INTRODUCTION

This document contains sample test data that can be used for the certification towards objective 170.315(b)(9). This section of the Code of Federal Regulations Title 45 documents the required Health IT technology to be able to create a Care Plan for a patient formatted according to the Consolidated CDA (C-CDA) Release 2.1

A) Test of 45 CFR 170.315 (b)(9)

The following is the summary of test data presented herein for 170.315(b)(9) criteria.

Conventions used in the document:

1. The test data outlined below has both required and optional data that is specified to help the vendors create C-CDA's with the appropriate context and follow the HL7 C-CDA best practices. The optional data is indicated by enclosing them in []. For e.g. [Medical Record Custodian]
 - a. When a narrative or text block is surrounded by [] the entire narrative block is optional.
 - b. When a column heading is surrounded by [] the data represented by the column is optional. For e.g. [Service Delivery Location]
 - c. When a section is marked with [] , the entire section is optional. For e.g [Problems]
 - d. When the data within a table cell is surrounded by [] the data within the cell is optional. For e.g. The information recipient Dr Albert Davis is optional from a certification standpoint. Vendors can include it in their C-CDA's to comply with HL7 C-CDA IG and best practices.

[Information Recipient]	[Dr Albert Davis]
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- e. The C-CDA IG allows display names and text elements to be optionally included in the structured entries. Hence the above optional markings designated by [] in the test data are with respect to the structured entries in the XML. If a certification criteria requires visual display of the structured data (for e.g View, Download and Transmit - VDT), then the vendors have to display the coded data elements in their English representation. For example Medication Name, Problem Name, Vital Sign Name which are English representations of the coded data have to be displayed for the VDT criteria even though they are marked optional in the test data.
2. Additional clarifications are added with the keyword **“Note”**.

3. Guidance for No Information Sections: When the test data instructions specify “No Information” for certain data elements, vendors are expected to use the HL7 recommended best practices to represent the information. However vendors don’t have to include sections and entries not required by the document template to represent “No information”.
4. Guidance to Change Test Data: Vendors can work with their ATLS to change the test data specified below. ATLS have been provided a document on how to use the test tools to verify SUT’s capabilities when the test data is changed. This document has also been posted as part of ETT Google Group thread: https://groups.google.com/forum/#!topic/edge-test-tool/fDYr_kqp9_g

To exemplify 170.315 (b) (9), the following clinical scenario will be employed.

Document Narrative:

[Ms. Karen Mckiney is a 45 year old female with a history of Hypertension, Hypothyroidism, Iron deficiency and is a recipient of Renal Allograft visits Neighborhood Physicians Practice on 6/22/2015 at 10am EST. The patient disclosed history of nausea, loose stools and weakness. After initial examination the patient was found to have fever, she was administered necessary medications and after examining the history of the patient and the lab results, the doctor suspected anemia. So the patient was referred to Community Health Hospitals an Inpatient facility to get appropriate treatment and was asked to watch for appropriate changes in body temperature, blood pressure and take nebulizer treatment as needed.]

Note: The test data provided in the document was captured during this encounter including historical data. The contextual data provided is to help the vendors create their C-CDA documents using appropriate data. Vendors can ignore the contextual data if it is not required for C-CDA generation; however the generated C-CDA is expected to contain the data relevant to the criteria as specified in the regulation.

II. HEADER DATA

The following data is part of the medical record header identifying the contextual information necessary when exchanging data.

- A) Patient Demographics

USCDI Data Elements	Contextual Data Elements required for the Medical Record encoding to C-CDA IG	Details	Additional Information
Patient Name		First Name: Karen Last Name: Mckiney Middle Name: Jones Previous Name: Cathy Suffix:	The Previous Name specified is the Patient's Birth Name and should be coded accordingly.
Sex		Female (F)	
Date of Birth		5/1/1970	
Race		White (2106-3)	
More Granular Race Code		2108-9(White European)	
Ethnicity		Not Hispanic or Latino (2186-5)	
Preferred Language		English (en)	
	Home Address	1357, Amber Dr, Beaverton, OR-97006	
	Telephone Number	Mobile: 555-777-1544 Home: 555-723-1234	

B) Relevant Information regarding the Visit

Note: The information in this table is provided for context and to help populate the required elements in the C-CDA Header along with any 2015 USCDI data elements.

USCDI Data Elements	Contextual Data Elements required for medical record encoding to C-CDA	Details	Additional Information
Referring or Transitioning Providers Name		Full Name: Dr Albert Davis First Name: Albert Last Name: Davis	
Office Contact Information		Full Name: Tracy Davis First Name: Tracy Last Name: Davis Telephone: 555-555-1002 Address: 2472, Rocky place, Beaverton, OR-97006	
	[Author/Legal	[Dr Albert Davis	

USCDI Data Elements	Contextual Data Elements required for medical record encoding to C-CDA	Details	Additional Information
	Authenticator/ Authenticator of Electronic Medical Record]	Time: 6/22/2015]	
	[System that generated the document]	[Neighborhood Physicians Practice EMR]	
	[Informants]	[Matthew Mckiney (Spouse) First Name: Matthew Last Name: Mckiney]	
	[Medical Record Custodian]	[Neighborhood Physicians Practice]	
	[Information Recipient]	[Dr Albert Davis]	
	[Visit Date]	[6/22/2015]	
Care Team Members	Care Team Members	Dr Albert Davis Tracy Davis	
	[Other Participants in event]	[Mr Rick Grazino (Grand Parent) First Name: Rick Last Name: Grazino Mr Matthew Mckiney (Spouse) First Name: Matthew Last Name: Mckiney (Mr Rick and Mr Matthew have the same address as Ms Karen)]	
	[Event Documentation Details or Documentation of Event]	[Dr Albert Davis 30 minute encounter Event Code = Fever]	[Code for Fever Finding: 386661006 , Code System: SNOMED-CT]

III. BODY DATA

Note: The following data is part of the medical record details identifying the relevant clinical data captured as part of the visit.

A) [Problems] - This section is optional in CarePlan.

Note: Timing information is to be represented using the effectiveTime data element in the Problem Observation. Start Date is to be used as Onset Date and End Date as Resolution Date.

Code	CodeSystem	[Problem Name]	[Timing Information]	Concern Status
59621000	SNOMED-CT	Essential hypertension (Disorder,)	10/5/2011 – Start Date	Active
83986005	SNOMED-CT	Severe Hypothyroidism (Disorder)	12/31/2006 – Start Date	Active
236578006	SNOMED-CT	Chronic rejection of renal transplant (disorder)	12/31/2011 – Start Date	Active
386661006	SNOMED-CT	Fever (finding)	6/22/2015 – Start Date	Active
238131007	SNOMED-CT	Overweight (finding)	12/31/2006 – Start Date	Active

B) [Encounter Diagnoses] - This section is optional in CarePlan.

Note: If a SUT only supports ICD-10 instead of SNOMED-CT, they could work with their ATs to use a ICD-10 code.

Code	CodeSystem	[Description]	Start Date	[Service Delivery Location]
386661006	SNOMED-CT	Fever – Finding	6/22/2015	Neighborhood Physicians Practice Address: 2472, Rocky place, Beaverton, OR-97006

C) [Vital Signs] - This section is optional in CarePlan.

Code	Code System	[Vitals Name]	Date	Value and Units
8302-2	LOINC	Height	6/22/2015, [10:05am EST]	Value=177 Units=cm
29463-7	LOINC	Weight	6/22/2015, [10:05 EST]	Value=110 Units=kg
8462-4 (Diastolic)	LOINC	Blood Pressure-Diastolic	6/22/2015, [10:08 EST]	Value=88 units=mm[Hg]
8480-6 (Systolic)	LOINC	Blood Pressure-Systolic	6/22/2015, [10:08 EST]	Value=145 units=mm[Hg]

Code	Code System	[Vitals Name]	Date	Value and Units
8310-5	LOINC	Body Temperature	6/22/2015, [10:07 am EST].	Value=42 Units=Cel

D) [Medications] - This section is optional in CarePlan.

Note: Timing information (Start and End Dates) are to be represented using the effectiveTime data element in the Medication Activity entry.

Code	CodeSystem	[Medication Name]	[Timing Information]	Route	Frequency	Dose
309090 (SCD)	RxNorm	Ceftriaxone 100 MG/ML	6/22/2015 – Start Date End Date – 7/22/2015	Injectable	Two Times daily	1 unit
209459 (SBD)	RxNorm	Tylenol 500mg	6/22/2015 - Start Date, For 10 days	Oral	As needed	1 unit
731241 (SBD)	RxNorm	Aranesp 0.5 MG/ML	6/22/2015 – Start Date End Date – 7/22/2015	Injectable	Once a week	1 unit

E) Goals

- a. Get rid of intermittent fever that is occurring every few weeks.
- b. Need to gain more energy to do regular activities.
- c. [Negotiated Goal for Body Temperature (LOINC code - 8310-5, 38-39 degrees Celsius, Date-6/22/2015, Related problem reference is as follows]

Code	Code System	Description	Date	Status
386661006	SNOMED-CT	Fever (finding)	6/22/2015	Active

- d. Keep weight under 95kg.

F) HealthConcerns

- a. Health Status – Chronic Sickness
- b. [HealthCare Concerns refer to underlying clinical facts]
 - i. HyperTension problem concern
 - ii. HypoThyroidism problem concern
 - iii. Vital Sign Weight Observation
 - iv. Intermittent Fever Problem concern

G) Health Status Evaluations and Outcomes - This section is optional in CarePlan per C-CDA IG, however it is required for 2015 Edition certification.

(Visual Inspection – ATL’s need to visually inspect the System Under Test (SUT) generated C-CDA for the Health Status Evaluations and Outcomes content.)

- a. Outcome Observation #1:
 - i. [Refers to Goal Observation for Weight]
 - ii. [Refers to the Intervention Act #1]
 - iii. Progress Towards Goal of Weight – Goal Not Achieved as of 6/22/2015
- b. [Outcome Observation #2:]
 - i. Refers to Goal Observation for Body Temperature
 - ii. Refers to Intervention Act #2

H) Interventions - This section is optional in CarePlan per C-CDA IG, however it is required for 2015 Edition certification.

(Visual Inspection – ATL’s need to visually inspect the System Under Test (SUT) generated C-CDA for the Intervention content.)

- a. InterventionAct #1:
 - i. Nutrition Recommendations:
 - 1. Follow dietary regime as discussed
 - 2. Read about nutrition as discussed
 - ii. [Refers to the Goal Observation for Weight.]
- b. [InterventionAct #2:]
 - i. Refers to the Medications entries
 - ii. Refers to the Goal Observation for Body Temperature.